

SMALL BUSINESS CONCERN - NEW APPLICATION

Attorney Docket No.: 119477

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn RE Application of: TONY PELED ET AL.

Filed Concurrently Herewith

For: METHODS OF CONTROLLING PROLIFERATION AND DIFFERENTIATION OF STEM AND PROGENITOR CELLSVERIFIED STATEMENT UNDER 37 CFR 1.27
CLAIMING STATUS AS A SMALL ENTITY

To The Commissioner of Patents and Trademarks:

I hereby declare that:

I am the owner of, or an official empowered to act on behalf of, the small business concern identified below:

Name of Concern: GAMIDA CELL LTD.Address : P.O. BOX 94, SAVYON 56540, ISRAEL

The small business concern identified above, together with its affiliates, employs fewer than 500 persons and qualifies as a small business concern as defined in 37 CFR 1.9(d) for purposes of paying reduced fees under 35 USC § 41(a) and § 41(b) to the Patent and Trademark Office with regard to the above-entitled invention described in the specification filed herewith.

Rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention.

If the rights held by the small business concern are not exclusive, each other party having rights to the invention is listed below, and no rights to the invention are held by any party who could not qualify as a small entity under 37 CFR 1.9(f), namely any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Full Name (Party 1) : HADASIT MEDICAL RESEARCH SERVICES AND DEVELOPMENT COMPANY LTD.Address : P.O. BOX 12000, JERUSALEM 91120, ISRAEL

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name (Party 2) : _____

Address : _____

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty under 37 CFR 1.28(b) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the issue fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any rights resulting thereon.

medical research services
& development ltd.

Name of Person Signing

Signature

Date

Capacity of Person Signing: Managing DirectorAddress of Person Signing: P.O. Box 12000 Jerusalem 91120

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Full Name (Party 1): GAMIDA CELL LTD.Address: P.O. BOX 94, SAVYON 56540, ISRAEL

Status: ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name (Party 2): _____

Address: _____

Status: ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

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AVI TREVER
Name of Person Signing

A. T...
Signature

Jan. 11, 2000
Date

Capacity of Person Signing: C.E.O.Address of Person Signing: Carmel 10 Mervseret Zion Israel

Combined Declaration For Patent Application and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS OF CONTROLLING PROLIFERATION AND DIFFERENTIATION OF STEM AND PROGENITOR CELLS, the specification of which

(check one) ☒ is attached hereto.

☐ was filed on _____ as Application Serial No. _____ and was amended on _____. I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

| | | |
|-------------------|------------|--------------------------|
| <u>IL99/00444</u> | <u>PCT</u> | <u>17-Aug-99</u> |
| (number) | (Country) | (Day, Month, Year Filed) |
| <u>US99/02664</u> | <u>PCT</u> | <u>8-Feb-99</u> |
| (number) | (Country) | (Day, Month, Year Filed) |

Priority Claimed

| | |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

09/161,659 29-Sep-98
(Application Serial No.) (Filing Date)

pending
Status
(patented, pending, abandoned)

09/130,367 7-Aug-98
(Application Serial No.) (Filing Date)

pending
Status
(patented, pending, abandoned)

09/024,195 17-Feb-1998
(Application Serial No.) (Filing Date)

abandoned
Status
(patented, pending, abandoned)

I hereby appoint the following attorneys, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Mark M. Friedman Registration No. 33,883

Address all Correspondence to:

DR. MARK FRIEDMAN LTD.
c/o ANTHONY GASTORINA
2001 JEFFERSON DAVIS HIGHWAY
SUITE 207
ARLINGTON, VIRGINIA 22202

Direct all telephone calls & faxes to:
ROBERT SHEINBEIN
Phone (703) 415-1581
Fax (703) 415-4864

Attorney Docket: 1194/7
page 2 of 2

Continuation of Combined Declaration For Patent Application and Power of Attorney

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application of any patent issued thereon.

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|---|---|----------------------------|
| *FULL NAME OF SOLE OR FIRST INVENTOR TONY PELED | INVENTOR'S SIGNATURE <i>T. Peled</i> | DATE <i>Jan 16 2000</i> |
| RESIDENCE 8 CARMEL STREET, MEVASERET ZION 90805, ISRAEL | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS 8 CARMEL STREET, MEVASERET ZION 90805, ISRAEL | | |

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| | | |
|--|--|-----------------------------|
| *FULL NAME OF SECOND INVENTOR EITAN FIBACH | INVENTOR'S SIGNATURE <i>E. Fibach</i> | DATE <i>Jan 16, 2000</i> |
| RESIDENCE 11 HACRANIM STREET, MEVASERET ZION 90805, ISRAEL | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS 11 HACRANIM STREET, MEVASERET ZION 90805, ISRAEL | | |

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| | | |
|--|--|-----------------------------|
| *FULL NAME OF THIRD INVENTOR AVI TREVES | INVENTOR'S SIGNATURE <i>A. Treves</i> | DATE <i>Jan 11, 2000</i> |
| RESIDENCE 10 CARMEL STREET, MEVASERET ZION 90805, ISRAEL | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS 10 CARMEL STREET, MEVASERET ZION 90805, ISRAEL | | |

| | | |
|-------------------------------|-------------------------------|------|
| *FULL NAME OF FOURTH INVENTOR | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS | | |

| | | |
|------------------------------|-------------------------------|------|
| *FULL NAME OF FIFTH INVENTOR | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS | | |

| | | |
|------------------------------|-------------------------------|------|
| *FULL NAME OF SIXTH INVENTOR | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS | | |

| | | |
|--------------------------------|-------------------------------|------|
| *FULL NAME OF SEVENTH INVENTOR | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP ISRAELI | |
| POST OFFICE ADDRESS | | |